

---

---

# From TGN1412 to TAB08: the return of CD28 superagonist therapy to clinical development for the treatment of rheumatoid arthritis

---

D. Tyrsin<sup>1</sup>, S. Chuvpilo<sup>1</sup>, A. Matskevich<sup>1</sup>, D. Nemenov<sup>1</sup>, P.S. Römer<sup>1</sup>,  
P. Tabares<sup>2</sup>, T. Hünig<sup>2</sup>

---

<sup>1</sup>TheraMAB LLC, Würzburg, Germany,  
and Moscow, Russia;

<sup>2</sup>Institute for Virology and Immunobiology,  
University of Würzburg, Germany

Dmitry Tyrsin, PhD  
Sergey Chuvpilo, PhD  
Alexey Matskevich, PhD  
Daniil Nemenov, MD  
Paula S. Römer, PhD  
Paula Tabares, PhD  
Thomas Hünig, PhD

Please address correspondence to:  
Thomas Hünig,  
Institute for Virology and Immunobiology,  
University of Würzburg,  
Versbacher str. 7,  
97078 Würzburg, Germany.  
E-mail: huenig@vim.uni-wuerzburg.de

Received and accepted on June 30, 2016.

*Clin Exp Rheumatol* 2016; 34 (Suppl. 98):  
S45-S48.

© Copyright CLINICAL AND  
EXPERIMENTAL RHEUMATOLOGY 2016.

**Key words:** TGN1412, TAB08,  
CD28 superagonist, regulatory T-cells,  
autoimmunity, rheumatoid arthritis,  
CD28

*Funding:* this work was funded by  
Deutsche Forschungsgemeinschaft,  
BMBF, TeGenero AG, and TheraMAB LLC.

*Competing interests:* D. Tyrsin,  
S. Chuvpilo, A. Matskevich, D. Nemenov,  
P.S. Römer are employees of TheraMAB;  
T. Hünig is a consultant to TheraMAB;  
P. Tabares has declared no competing  
interests.

## ABSTRACT

CD28 superagonists (CD28SA) are CD28-specific monoclonal antibodies which are able to activate T-cells without overt TCR engagement. In rodents, CD28SA efficiently activate regulatory T-cells and are therapeutically effective in multiple models of autoimmunity, inflammation and transplantation. However, a phase I study of the human CD28SA TGN1412 in 2006 resulted in a life-threatening cytokine storm. This brief review summarises preclinical work before and since the failed phase I trial with an emphasis on understanding the reasons why there had been no warning of toxicity, and how a novel assay paved the way for a new phase I, phase Ib (both completed), and an ongoing phase II study.

## Introduction

Numerous autoimmune and inflammatory diseases, including rheumatoid arthritis (RA), are associated with defects in the number or function of regulatory T-cells (Treg cells) (1). Furthermore, animal studies have shown that even in cases of non-immune system related tissue damage, Treg cells can counteract the manifestation of such diseases, and even promote tissue repair (2, 3). Accordingly, it is highly attractive to boost the regulatory T-cell compartment in diseases where Treg cells are known to have a protective or therapeutic effect. Ideally, such a Treg boost would lead to a transient wave of Treg activation, which results in numerical expansion, functional differentiation, and migration to sites of inflammation. Activated Treg cells could then interfere with the pathologic process on site, while the rest of the immune system returns to base line. Two different types of approaches have been developed

towards this goal: First, treatment with low doses of IL-2 (4, 5), which makes use of the unique sensitivity of Treg cells to the stimulatory effects of this cytokine (6) or, in another variant of this approach which has not yet reached the clinic, the use of IL-2/anti-IL-2 complexes which selectively address the high affinity IL-2 receptor constitutively expressed by regulatory T-cells (7). In a second group of approaches, monoclonal antibodies to T-cell surface molecules have been developed which preferentially activate regulatory T-cells. One such reagent is the CD4-specific mAb Tregalizumab which, in spite of a setback in a recent phase II trial in RA, is being further developed for its potential usefulness in other disease entities (8). The second type of Treg promoting mAb are so-called CD28 superagonists (CD28SA), which are highly efficacious in rodents (9). The human CD28SA prototype, TGN1412, failed dramatically during a first-in-human FIH trial in 2006 due an unexpected cytokine release syndrome (10) caused by the activation of CD4 effector memory (CD4EM) cells (11, 12). The present review will briefly recount the research leading up to this trial, and describe the return of TGN1412, now called TAB08, to clinical development almost a decade later.

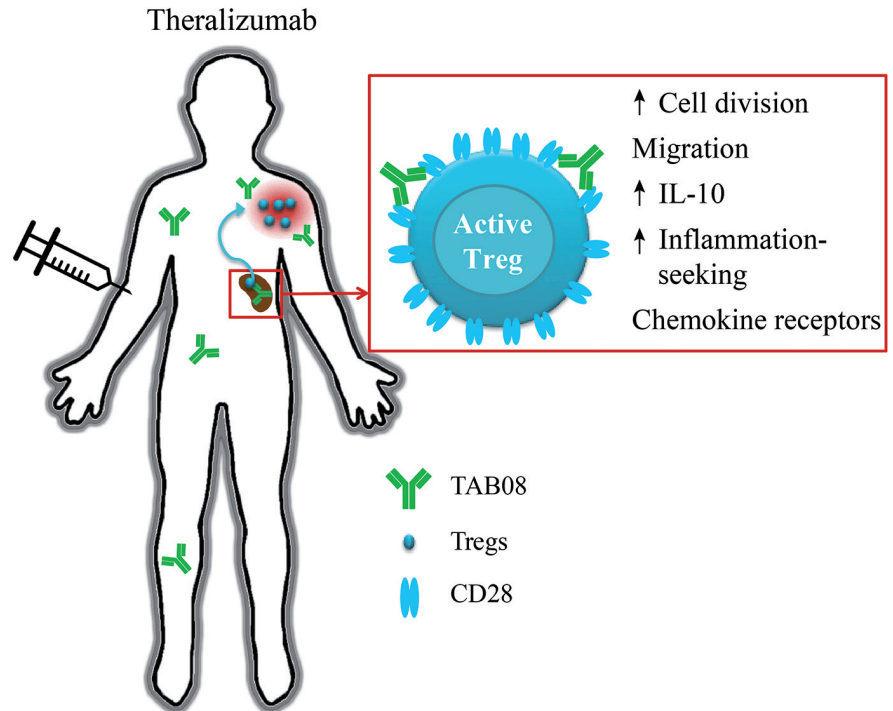
## Preclinical rodent studies

CD28SA activity was originally discovered in rats, where a subset of CD28-specific mAb seemingly activated T-cells without the requirement for TCR engagement (13). We now know, however, that at least weak or “tonic” TCR signals are required for CD28SA activity to generate substrates for signal amplification by CD28 (14, 15). When injected into rats, CD28SA

expanded all CD4 T-cells at high doses, accompanied by a strong increase in the frequency and activity of Treg cells (16); at low doses, however, the response was restricted to the Treg cells themselves (17). We now know that besides the high sensitivity of Treg cells to IL-2 (6) and their ability to act as an IL-2 sink (18), it is the stronger TCR signalling input of this autoreactive cell type as compared to conventional T-cells (19) that reduces the amount of CD28SA required to reach an activation threshold (unpublished results).

The transient wave of polyclonal Treg activation observed in response to CD28SA treatment in rats prompted the study of its therapeutic potential in models for human diseases including multiple sclerosis, Guillain-Barré syndrome, type-1 diabetes, glomerulonephritis, and in several models of transplantation (Table I). When later on, also a mouse-specific CD28SA was developed (20), these studies were further extended to graft-*versus*-host disease, infection-associated inflammation and various autoimmune and inflammatory disorders caused by genetic manipulations (Table I). Recently, tissue repair after myocardial infarction and stroke has extended the scope of experimental CD28SA treatment (Table I). In all instances, strong therapeutic effects were observed without discernable adverse side effects. Furthermore, we could demonstrate that as postulated earlier (9), polyclonal Treg activation resulted in the sequential induction of proliferation, a switch in chemokine receptors directing migration to inflamed sites, and expression of effector function (21) (Fig. 1).

In 2002, TeGenero, a spin-off company from the University of Würzburg, initiated the development of a human CD28-specific superagonist. TGN1412, a fully humanised mouse antibody of the IgG4 subclass was extensively tested in cynomolgus macaques, where it binds to CD28 with the same affinity as in humans (22). Since up to 50 mg/kg was well tolerated in that species, a FIH dose of 0.1 mg/kg was expected to be safe. Shockingly, however, all 6 healthy volunteers (HV) receiving the drug responded with a massive cytokine



**Fig. 1.** Proposed mode of action of Theralizumab (TAB08). Treatment with a low dose of the antibody selectively activates Treg cells in lymphoid organs, which divide, enter the circulation, express homing receptors guiding them to sites of inflammation, and use effector functions such as IL-10 to fight inflammation on-site. This model is based on observations in mice, and on *in vitro* studies with human PBMC.

**Table I.** Rodent models of autoimmunity, inflammation, transplantation and tissue repair in which CD28SA-mediated Treg activation have been found therapeutically effective.

Disease / indication	Rodent model	References
Guillain-Barré-Syndrome	EAE	(27)
Multiple Sclerosis	EAE	(17) (28) (29)
Acute arthritis	AA	(30)
Chronic arthritis	G6PI-arthritis	(31)
Inflammatory bone destruction	TNF-tg mouse	(32)
Type I diabetes	BB rat	(33)
Glomerulonephritis	rat glomerulonephritis	(34)
IBD	DSS colitis	(35)
Trypanosome inflammation	T.cruzi infected mice	(36)
Pemphigus vulgaris	desmoglein 3 immunized mice	(27)
GvHD	rat, mouse GvHD	(37) (38)
Solid organ graft rejection	kidney, heart, liver, trachea	(39) (40) (41) (42)
Stroke	mouse central artery occlusion	(43)
Myocardial infarction	mouse transient coronary occlusion	(44)

release syndrome requiring intensive care treatment (10). Fortunately, they all eventually recovered, but as a consequence of the incident, TGN1412 development was interrupted and TeGenero went into insolvency.

### Resumption of preclinical development

The most important insight into why the cytokine storm experienced in the 2006 HV trial had not been announced

by preclinical work is the finding by the group of Richard Stebbings that CD4 effector memory cells, which are the source of the multiple pro-inflammatory cytokines released by CD28SA stimulation in humans, selectively down-regulate the target molecule CD28 in cynomolgus macaques (11). Accordingly, these monkeys can tolerate extremely high amounts of this potent antibody without discomfort, making the no-observed-adverse-events method used

to determine the FIH dose misleading. Furthermore, since in contrast to polyclonal T-cell activators addressing the TCR such as the CD3-specific mAb OKT3, PBMC cultures do not respond to TGN1412 with cytokine release, modified PBMC based *in vitro* assays were developed to reveal its stimulatory capacity and make it amenable to mechanistic analysis. Strong responses were indeed observed to plastic-immobilised TGN1412 (23). While this approach does reveal the stimulatory potential of the antibody, it precludes the establishment of dose-response relationships with soluble antibody as is applied *in vivo*. We therefore developed an alternative assay which allows to analyse the responses of PBMC T-cells to soluble CD28SA.

This “Restore” assay (for resetting T-cells to original reactivity) resets the signalling-defective circulating T-cells to tissue-like reactivity by culturing PBMC for 2 days at high density (24). During the high density pre-culturing step, T-cells regain the cell contact dependent tonic signalling of the TCR which they exhibit in the tissues but lose during recirculation. Such basal TCR signals are an absolute requirement for signal transduction in response to CD28SA (14, 15). The Restore assay not only allows to study the cytokine and proliferative responses of PBMC to soluble CD28SA, it also repairs the poor the sensitivity of circulating memory CD4 (24) and CD8 (25) T-cells to microbial and tumour-associated antigens, making it a useful diagnostic tool.

With the help of the Restore assay, TheraMAB, the new owner of TGN1412, resumed development of the antibody, which was renamed TAB08. TAB08 titrations in Restore cultures from a large number of healthy donors, but also from patients suffering from rheumatoid arthritis (26), multiple sclerosis, systemic lupus erythematosus, and psoriasis (unpublished) were analysed for the dose requirements for both, the desired activation of regulatory T-cells, and the unwanted pro-inflammatory cytokine release from CD4 effector memory cells. Exactly as had been noted in rats and mice, Treg

cells turned out to require much lower CD28SA doses for activation and proliferation than conventional T-cells. Furthermore, inclusion of corticosteroids completely eliminated the toxic response seen at high TAB08 doses, while a substantial amount of Treg activation was corticosteroid-resistant (26), providing an additional safety net for clinical application.

### Resumption of clinical development

These encouraging findings led to the design of a new healthy volunteer trial, which started at 0.1 mg/kg, *i.e.* a 1000-fold lower dose than the one applied in the ill-fated trial of 2006 (Clinical trials identifier: NCT01885624). After careful monitoring of each patient, the dose was gradually increased to a maximum of 7 mg/kg, still well below what had been applied in the first HV trial. To our satisfaction, pro-inflammatory cytokine release remained completely absent, whereas at the highest doses employed, all volunteers responded with transient release of IL-10 into the circulation (26). Since IL-10 is a Treg signature cytokine, this strongly suggested that the desired selective activation of regulatory T-cells is possible if TAB08 is appropriately dosed.

### A Phase Ib trial in RA patients

Subsequently, a total of 18 patients with RA diagnosed at least 6 months earlier was performed (Clinical trials identifier: NCT01990157). TAB08 was applied in four weekly intervals, and laboratory parameters, adverse effects and clinical response were recorded. While the details of this trial are currently being prepared for publication, it can be summarised that adverse events (AEs) remained at an acceptable level (brief episodes of fever associated with circulating IL-6), and the majority of patients responded with ACR20 or higher scores. Obviously, this result awaits confirmation by a double blinded phase II study, which is currently under way.

### Outlook

If the encouraging proof-of-concept study of TAB08 in RA patients will be confirmed in phase II, transient polyclonal Treg stimulation by CD28SA

may provide a novel approach to the treatment of other immunopathologies and inflammatory disorders as well. Whilst the individual effector mechanisms mediating pathology vary between such diseases and hence are currently addressed by an array of different mAb or soluble receptor fusion proteins, the power of transient controlled polyclonal Treg activation lies in the versatility of this cell type in interfering with most of these pathomechanisms through a set of countermeasures including interruption of co-stimulation, provision of anti-inflammatory cytokines, cytokine elimination, induction of apoptosis in pathogenic immune cells and immunomodulatory interactions between cell surface receptors on Treg and target cells. It is an interesting parallel that both, IL-2 and CD28SA therapy, were initially wrought with strong toxicity due to activation of effector cells (which, in case of IL-2, was intended to fight tumours) and vascular leakage. Now, at much reduced doses, both agents appear to be useful to activate the control of immunopathology by regulatory T-cells. Further clinical studies are eagerly awaited with the hope that this strategy will help those who do not respond to the current standard treatments.

### References

1. MIYARA M, GOROCHOV G, EHRENSTEIN M, MUSSET L, SAKAGUCHI S, AMOURA Z: Human FoxP3<sup>+</sup> regulatory T cells in systemic autoimmune diseases. *Autoimmun Rev* 2011; 10: 744-55.
2. BURZYN D, KUSWANTO W, KOLODIN D *et al.*: A special population of regulatory T cells potentiates muscle repair. *Cell* 2013; 155: 1282-95.
3. CIPOLLETTA D: Adipose tissue-resident regulatory T cells: phenotypic specialization, functions and therapeutic potential. *Immunology* 2014; 142: 517-25.
4. ZORN E, NELSON EA, MOHSENI M *et al.*: IL-2 regulates FOXP3 expression in human CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cells through a STAT-dependent mechanism and induces the expansion of these cells *in vivo*. *Blood* 2006; 108: 1571-9.
5. KLATZMANN D, ABBAS AK: The promise of low-dose interleukin-2 therapy for autoimmune and inflammatory diseases. *Nat Rev Immunol* 2015; 15: 283-94.
6. MIYARA M, YOSHIOKA Y, KITOH A *et al.*: Functional delineation and differentiation dynamics of human CD4<sup>+</sup> T cells expressing the FoxP3 transcription factor. *Immunity* 2009; 30: 899-911.



7. WEBSTER KE, WALTERS S, KOHLER RE *et al.*: *In vivo* expansion of T reg cells with IL-2-mAb complexes: induction of resistance to EAE and long-term acceptance of islet allografts without immunosuppression. *J Exp Med* 2009; 206: 751-60.
8. KONIG M, RHARBAOUI F, AIGNER S, DALKEN B, SCHUTTRUMPF J: Tregalizumab - a monoclonal antibody to target regulatory T cells. *Front Immunol* 2016; 7: 11.
9. HUNIG T: Manipulation of Regulatory T-Cell Number and Function with CD28-Specific Monoclonal Antibodies. *Adv Immunol* 2007; 95: 111-48.
10. SUNTHARALINGAM G, PERRY MR, WARD S *et al.*: Cytokine storm in a phase I trial of the anti-CD28 monoclonal antibody TGN1412. *N Engl J Med* 2006; 355: 1018-28.
11. EASTWOOD D, FINDLAY L, POOLE S *et al.*: Monoclonal antibody TGN1412 trial failure explained by species differences in CD28 expression on CD4<sup>+</sup> effector memory T-cells. *Br J Pharmacol* 2010; 161: 512-26.
12. RÖMER P, BERR S, AVOTA E *et al.*: Preculture of PBMS at high cell density increases sensitivity of T-cell responses, revealing cytokine release by CD28 superagonist TGN1412. *Blood* 2011; 118: 6772-82.
13. TACKE M, HANKE G, HANKE T, HUNIG T: CD28-mediated induction of proliferation in resting T cells *in vitro* and *in vivo* without engagement of the T cell receptor: evidence for functionally distinct forms of CD28. *Eur J Immunol* 1997; 27: 239-47.
14. DENNEHY KM, ELIAS F, NA SY, FISCHER KD, HUNIG T, LUHDER F: Mitogenic CD28 Signals require the exchange factor Vav1 to enhance TCR signaling at the SLP-76-Vav-Itk signalosome. *J Immunol* 2007; 178: 1363-71.
15. LEVIN SE, ZHANG C, KADLECEK TA, SHOKAT KM, WEISS A: Inhibition of ZAP-70 kinase activity via an analog-sensitive allele blocks T cell receptor and CD28 superagonist signaling. *J Biol Chem* 2008; 283: 15419-30.
16. LIN C-H, HUNIG T: Efficient expansion of regulatory T-cells *in vitro* and *in vivo* with a CD28 superagonist. *Eur J Immunol* 2003; 33: 626-38.
17. BEYERSDORF N, GAUPP S, BALBACH K *et al.*: Selective targeting of regulatory T cells with CD28 superagonists allows effective therapy of experimental autoimmune encephalomyelitis. *J Exp Med* 2005; 202: 445-55.
18. SCHEFFOLD A, HUHJN J, HOFER T: Regulation of CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cell activity: it takes (IL-)two to tango. *Eur J Immunol* 2005; 35: 1336-41.
19. ANDERSSON J, STEFANOVA I, STEPHENS GL, SHEVACH EM: CD4<sup>+</sup>CD25<sup>+</sup> regulatory T cells are activated *in vivo* by recognition of self. *Int Immunol* 2007; 19: 557-66.
20. DENNEHY KM, ELIAS F, ZEDER-LUTZ G *et al.*: Cutting edge: monovalency of CD28 maintains the antigen dependence of T cell costimulatory responses. *J Immunol* 2006; 176: 5725-9.
21. LANGENHORST D, GOGISHVILI T, RIBECHINI E *et al.*: Sequential induction of effector function, tissue migration and cell death during polyclonal activation of mouse regulatory T-cells. *PLoS One* 2012; 7: e50080.
22. HANKE T: Lessons from TGN1412. *Lancet* 2006; 368: 1569-70; author reply 70.
23. STEBBINGS R, FINDLAY L, EDWARDS C *et al.*: "Cytokine storm" in the phase I trial of monoclonal antibody TGN1412: better understanding the causes to improve preclinical testing of immunotherapeutics. *J Immunol* 2007; 179: 3325-31.
24. ROMER PS, BERR S, AVOTA E *et al.*: Preculture of PBMCs at high cell density increases sensitivity of T-cell responses, revealing cytokine release by CD28 superagonist TGN1412. *Blood* 2011; 118: 6772-82.
25. WEGNER J, HACKENBERG S, SCHOLZ CJ *et al.*: High-density preculture of PBMCs restores defective sensitivity of circulating CD8 T cells to virus- and tumor-derived antigens. *Blood* 2015; 126: 185-94.
26. TABARES P, BERR S, ROMER PS *et al.*: Human regulatory T cells are selectively activated by low-dose application of the CD28 superagonist TGN1412/TAB08. *Eur J Immunol* 2014; 44: 1225-36.
27. SCHMIDT J, ELFLIN K, STIENEKEMEIER M *et al.*: Treatment and prevention of experimental autoimmune neuritis with superagonistic CD28-specific monoclonal antibodies. *J Neuroimmunol* 2003; 140: 143-52.
28. TISCHNER D, WEISHAUPT A, VAN DEN BRANDT J *et al.*: Polyclonal expansion of regulatory T cells interferes with effector cell migration in a model of multiple sclerosis. *Brain* 2006; 129: 2635-47.
29. GOGISHVILI T, LANGENHORST D, LUHDER F *et al.*: Rapid regulatory T-cell response prevents cytokine storm in CD28 superagonist treated mice. *PLoS ONE* 2009; 4: e4643.
30. RODRIGUEZ-PALMERO M, FRANCH A, CASTELL M *et al.*: Effective Treatment of Adjuvant Arthritis with a Stimulatory CD28-specific Monoclonal Antibody. *J Rheumatol* 2006; 33: 110-8.
31. WIN SJ, KÜHL AA, SPARWASSER T, HÜNIG T, KAMRADT T: *In vivo* activation of Treg cells with a CD28 superagonist prevents and ameliorates chronic destructive arthritis in mice. *Eur J Immunol* 2016; 46: 1193-202.
32. ZAISS MM, FREY B, HESS A *et al.*: Regulatory T cells protect from local and systemic bone destruction in arthritis. *J Immunol* 2010; 184: 7238-46.
33. VAN DEN BRANDT J, FISCHER HJ, WALTER L, HUNIG T, KLOTING I, REICHARDT HM: Type 1 diabetes in BioBreeding rats is critically linked to an imbalance between Th17 and regulatory T cells and an altered TCR repertoire. *J Immunol* 2010; 185: 2285-94.
34. MIYASATO K, TAKABATAKE Y, KAIMORI J *et al.*: CD28 superagonist-induced regulatory T cell expansion ameliorates mesangioproliferative glomerulonephritis in rats. *Clin Exp Nephrol* 2011; 15: 50-7.
35. CHEN J, XIE L, TOYAMA S, *et al.*: The effects of Foxp3-expressing regulatory T cells expanded with CD28 superagonist antibody in DSS-induced mice colitis. *Int Immunopharmacol* 2011; 11: 610-7.
36. GUILLIAMS M, BOSSCHAERTS T, HERIN M *et al.*: Experimental expansion of the regulatory T cell population increases resistance to African trypanosomiasis. *J Infect Dis* 2008; 198: 781-91.
37. BEYERSDORF N, DING X, HUNIG T, KERKAU T: Superagonistic CD28 stimulation of allogeneic T cells protects from acute graft-versus-host disease. *Blood* 2009; 114: 4575-82.
38. KITAZAWA Y, LI XK, LIU Z *et al.*: Prevention of graft-versus-host diseases by *in vivo* sup-CD28mAb-expanded antigen-specific nTreg cells. *Cell Transplant* 2010; 19: 765-74.
39. KITAZAWA Y, FUJINO M, SAKAI T *et al.*: Foxp3-expressing regulatory T cells expanded with CD28 superagonist antibody can prevent rat cardiac allograft rejection. *J Heart Lung Transplant* 2008; 27: 362-71.
40. AZUMA H, ISAKA Y, LI X *et al.*: Superagonistic CD28 antibody induces donor-specific tolerance in rat renal allografts. *Am J Transplant* 2008; 8: 2004-14.
41. URAKAMI H, OOSTANIN DV, HUNIG T, GRISHAM MB: Combination of donor-specific blood transfusion with anti-CD28 antibody synergizes to prolong graft survival in rat liver transplantation. *Transplant Proc* 2006; 38: 3244-6.
42. SHI Q, NIU Y, CAO H *et al.*: CD28 superagonist antibody treatment attenuated obliterative bronchiolitis in rat allo-orthotopic tracheal transplantation by preferentially expanding Foxp3-expressing regulatory T cells. *Transplant Proc* 2012; 44: 1060-6.
43. NA SY, MRACSKO E, LIESZ A, HUNIG T, VELTKAMP R: Amplification of regulatory T cells using a CD28 superagonist reduces brain damage after ischemic stroke in mice. *Stroke* 2015; 46: 212-20.
44. WEIRATHER J, HOFMANN UD, BEYERSDORF N *et al.*: Foxp3<sup>+</sup> CD4<sup>+</sup> T cells improve healing after myocardial infarction by modulating monocyte/macrophage differentiation. *Circ Res* 2014; 115: 55-67.