### Review

# Molecular mechanisms in normal pregnancy and rheumatic diseases

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#### ABSTRACT

Pregnancy is a phenomenon that is not totally understood, based on the complex molecular interactions between the mother and the embrio. Once the fecundation is completed the fetus starts to fight for survival. The first challenge is the implantation process and the second one is the interaction with the maternal immune system.

This review discusses how the fetus avoids the immune system rejection, and the mechanisms that the maternal immune system adapts in order to be fit for a successful pregnancy.

Also, we focus in this paper on the effects of pregnancy in rheumatic diseases, because the myriad clinical outcomes of the disease itself and the obstetric complications dependent of the disease implicated, as for example in rheumatoid arthritis (RA), systemic lupus erythematosus (SLE), spondyloarthropaties and antiphospholipid syndrome (APS).

#### Introduction

Pregnancy is a phenomenon that is not totally understood, based on the complex molecular interactions between the mother and the fetus itself. In this review we discuss the normal immune response, how the embryo protects him/herself from the maternal immune system in a normal pregnancy and the mechanisms triggered by the presence of the fetal allograft. Finally we describe the molecular knowledge around pregnancy on the presence of autoimmune rheumatic diseases. During the last two decades, an increase interest around the immunological and molecular millieu of the maternalfetal unit result in interesting publications giving us the insight to this scenario.

#### The normal immune response

 $T_H 1/T_H 2$  Subset

T cells may be classified as  $T_H 1/T_H 2$ subsets: The  $T_H 1$  subset produce interleukin-2 (IL-2), interferon  $\gamma$  (IFN- $\gamma$ ) and tumor necrosis factor- $\alpha$  (TNF $\alpha$ ) and is related to cellular immunity. The  $T_H 2$  subset produce interleukin-4 (IL-4), interleukin-5 (IL-5), interleukin-6 (IL-6) and interleukin-10 (IL-10) which are responsible for humoral immunity (1) (Table I). Another subset is known as  $T_H 3$  and it is involved in T regulation (2).

### *Naturally Foxp3 CD4*<sup>+</sup> *CD25*<sup>+</sup> *Treg cells*

Naturally Foxp3 CD4+ CD25+ cells are postulated as T regulatory cells (T<sub>reg</sub> cells), characterized phenotypically by high expression of IL-2 receptor  $\alpha$  (IL- $2R\alpha/CD25^+$ ) originally described by Asano and Sakaguchi (3, 4). T<sub>reg</sub> cells represent 5 to 10 % of the CD4<sup>+</sup> peripheral blood cells (5, 6) (Table I). Studies on BALB/c mice showed that CD4+CD25+ cells appear on the periphery after the 3<sup>rd</sup> day of life. Neonatal thymectomy on the 3<sup>rd</sup> day induces organ-specific autoimmune diseases such as ooforitis, gastritis and thyroiditis as a consequence of the absence of the IL-2R $\alpha$  (CD25<sup>-</sup>), resulting in T cells with autoreactive phenotype (3). Recently it has been pointed out the important role of the transcriptional factor Foxp3, a forkhead transcription factor that is an apparently exclusive marker of T cells capable of regulatory function (7, 8). T<sub>reg</sub> cells are responsible for the maintenance of tolerance based on anergy to T cell receptor (TCR) stimulation.

 $T_{reg}$  cells seem to express through the Foxp3 function accessory molecules: cytotoxic T lymphocyte antigen-4,

Function / Characteristic	Naturally Foxp3 CD4 <sup>+</sup> CD25 <sup>+</sup> cells	Peripheral Natural Killer Cells	Uterine Natural Killer Cells	$T_{\rm H}1/T_{\rm H}2$ Subsets	Dendritic Cells (APC)
Normal Immune Response	Peripheral Tolerance	Innate immunity CD56 <sup>dim</sup> CD16 <sup>+</sup> Cellular toxicity	↓ count, except in secretory phase	T <sub>H</sub> 1-cellular immunity T <sub>H</sub> 2-humoral immunity	Antigen Presenting
Normal Pregnancy	Favor the tolerance mediated by CTLA-4 and APC <sub>reg</sub>	Unknown	CD56 <sup>bright</sup> CD16 <sup>-</sup> pre-ovulatory and post-ovulatory phases	Mainly T <sub>H</sub> 2 response ? s	Tolerance to the semiallograft mediated by IDO secretion
Pregnancy in Rheumatic Diseases	Unknown	Unknown	Unknown	Not clear yet References (32, 50, 51)	Unknown

Table I. Functions of different cell subsets in normal immune response and pregnancy alone or associated with rheumatic disease.

(CTLA-4), glucocorticoid induced by tumor necrosis factor family related receptor (GITR), CD5 surface marker expressed by mature T cells and CD45RB<sup>low</sup>, a tyrosine phosphatase expressed on hematopoietic cells (4, 5). In relation to the effector mechanism of T<sub>reg</sub> cells, the *in vitro* evidence demonstrates that they mediate their effects through: 1) soluble mediators like IL-10 and transforming growth factor  $\beta$  $(TGF-\beta)$ ; 2) cell to cell contact between antigen presenting cell (APC) and  $\mathrm{T}_{\mathrm{reg}}$ cells that might result in activation of T cell receptor (TCR) along with CTLA-4 enhancing T<sub>reg</sub> cells suppressor activity (5) (Fig. 1).

#### Natural killer (NK)

The NK cells were identified because of its ability to lyse tumour cells without previous immunization (9). There are two different kinds of NK cells on the peripheral blood, both express CD56 and might or might not express CD16 on the surface. Ninety percent express low levels of CD56  $(CD56^{\text{dim}}CD16^{\scriptscriptstyle +})$  and the other 10 %express high levels of CD56 (CD56<sup>bright</sup> CD16-). The CD56<sup>dim</sup>CD16<sup>+</sup> present cytolytic granules and are more cytotoxic compared to the CD56<sup>bright</sup> CD16-(10) (Table I).

The function of these NK cells is controlled by the expression of specific receptors for human leukocyte antigen (HLA) class I, mainly the killer immunoglobulin-like receptor (KIR) family, the immunoglobulin like transcript (ILT), CD94/NKG2 and natural cytotoxicity receptors (NCRs). These last ones are specific for NK cells (11). According to the cytokine profile,  $CD56^{bright}$  CD16- secretes significantly more IFN- $\gamma$  through the stimulus of interleukin-12 (IL-12) and interleulin-18 (IL-18) compared to CD56<sup>dim-</sup>CD16<sup>+</sup>. Other cytokines expressed are granulocyte-macrophage colony-stimulating factor (GM-CSF) and IL-10 (12).

#### Uterine NK (uNK)

In contrast to peripheral blood, uNK cells (CD56<sup>bright</sup> CD16<sup>-</sup>) represent the main subset of NK cells in the uterus (10) (Table I). They are characterized by their low cytotoxicity although they are high proliferative (13). uNK cells show small and agranular cytoplasm in the proliferative pre-ovulatory phase; while in the post-ovulatory secretory phase, they become enlarge and with a lot of granules (14) (Table I). uNK cells secrete cytokines that are not produce by peripheral NK cells, such as angiogenic growth factors and leukemia inhibitory factor (14).

#### Dendritic cells (DCs)

The DCs are a heterogeneous cell population with the main function of APC to naïve T cells. (Table I) DCs might help in the regulation of the immune response through the use of surface molecules and/or cytokines that induce T helper cell ( $T_{\rm H}$ ) differentiation (15).

The DCs have 2 origins: myeloid or lymphoid. The blood-derived DCs referred as myeloid DC express CD11b, the integrin CD205 and might express CD4 but does not express CD8 $\alpha$ . The lymphoid DCs lacks CD11b surface marker but expresses CD8  $\alpha$  and CD205 (16). Myeloid DCs, could induce  $T_H1$  cells by production of IL-12, but after the burst of IL-12, the DCs are no longer shifted to  $T_H1$  but to  $T_H2$ response (17-19). Lymphoid DCs favour a  $T_H2$  response when culture with interleukin-3 (IL-3).

### The immune response in normal pregnancy

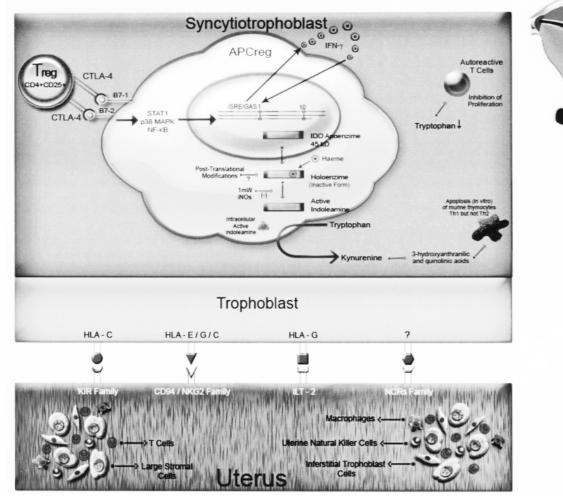
#### Tolerance to the fetal allograft

The menstrual cycle has a post-ovulatory or secretory phase characterized by the activity of cytokines such as IL-10 and IL-4 found on endometrial biopsies (20) which is a supportive response to avoid rejection of the oocyte fecunded by the spermatocyte. Hormonal modifications during pregnancy are regulated by the feto-maternal unit. Progesterone is the fundamental hormone during the first part of gestation and is found in concentrations 4-6 times higher versus non-pregnant women. Progesterone induces the production of progesterone-induced blocking factor (PIBF) which stimulates IL-4 and IL-10  $(T_H^2)$  secretion and inhibits IFN- $\gamma$  production (T<sub>H</sub>1) (21, 22). In early pregnancy the chorionic gonadotrophic hormone (hCG) mantains the pregnancy by the inhibition of the apoptosis of the corpus luteum. This release of the hCG induce progesterone production and helps the differentiation toward  $T_{H}^{2}$  response.

Changes on the immune microenvironment of the endometrium are important for successful implantation and maintenance of pregnancy including uNK cell proliferation (14), reduction of the NK cell cytotoxic activity (23), inhibition

#### Molecular mechanisms in normal pregnancy and RA / M. Vazquez-Del Mercado et

#### REVIEW



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Fig. 1. Molecular mechanism implicated in normal pregnancy.

The figure shows the maternal  $T_{reg}$  cells (CD4<sup>+</sup>CD25<sup>+</sup>) express CTLA-4 which acts as a ligand for B7-1 / B7-2 (CD80/CD86) receptor molecules on APC<sub>reg</sub>. This interaction triggers the signal transduction pathway dependent on STAT-1, p38 MAPK and NF $\kappa$ B to produce IFN- $\gamma$ . This molecule of IFN- $\gamma$  acts in a paracrine or autocrine way to activate IDO expression as apoenzyme through the activation of Interferon Stimulatory Response Elements (ISRE) and  $\gamma$ -Activating Sequences (GAS). The addition of the haeme group to the apoenzyme coverts it as a holenzyme (inactive form). Post-translational modifications not known yet, converts the inactive into the active form of IDO, with the consequence degradation of tryptophan to kynurenine in the syncytiotrophoblast. Secondary metabolites from kynurenine, 3-hydroxyanthranilic and quinolinic acids, induce selective apoptosis in murine thymocytes and T<sub>H</sub>1 cells. The low disponibility of tryptophan around the syncytiotrophoblast allows cycle arrest of T autoreactive cells. The figure demonstrates on the APCreg cytoplasm, the negative regulation of the active IDO form by the antagonist 1-methyltryptophan (1-MW) to inactive IDO, also mediated by the iNOs enzyme. On the other hand the trophoblast is interacting with the immune maternal uNK CD56<sup>bright</sup>CD16<sup>-</sup>, which express activation (CD94/NKG2, NCR family) and inhibitor receptors (ILT-2, KIR family) that recognize their ligands on the surface of the trophoblast: HLA-C, HLA-G and HLA-E molecules. The existence of this interaction suggests that the non-classical HLA molecules protect the fetus for the rejection by maternal uNK cells.

of T cell growth (24-26), deviate of the  $T_{\rm H}$  balance (27-32), hormonal induction, etc.(28, 30) (Fig. 1).

The maximal fecundity is around 30 %. Only 50-60 % of all conceptions advance beyond 20 weeks of gestation. Of the pregnancies lost, 75 % are secondary to a failure of implantation (33). The successful implantation is mediated by hormones like catecholamines and estrogens, cytokines such as TGF- $\beta$ , IL-10, enzymes like indoleamine 2,3-dioxygenase (IDO), inducible nitric oxide synthetase (iNOs), throphoblast proteinases like cathepsin B and L and cyclooxigenase 1 and 2 (COX-1 and COX-2) (33).

On the other hand, the trophoblast expresses ligands for non-classical HLA molecules (HLA-G, E, C) recognized by the uNK cells receptors (Fig. 1). Through this interaction, the trophoblast, comes in direct contact with maternal lymphocytes in early pregnancy, which means a protective role of the semi allograft (fetus) (14). These uNK cells, a  $T_H^2$  based balance (IL-10, IL-4) and the absence of HLA I and II expression by DCs and cytotoxic T cells through IFN- $\gamma$  secretion (30), allow a successful implantation.

#### $T_{\rm H} 1/T_{\rm H} 2$ subset in normal pregnancy

It has been suggested that women and mice during pregnancy show a  $T_H^2$  balance as a consequence of suppression of  $T_H^1$ -type cytokines. Systemic and local expression of  $T^H_2$  cytokines in placental tissue might be beneficial for the fetus, specially during the third trimester (28, 31, 32, 34-39) (Table I). In humans, the  $T_H^1/T_H^2$  paradigm is under discussion.

#### REVIEW

Molecular mechanisms in normal pregnancy and RA / M. Vazquez-Del Mercado et

A previous work of our group, reported increased production of IFN-  $\gamma$  (T<sub>H</sub>1) and IL-4 (T<sub>H</sub>2) on peripheral blood in normal pregnant women (32). Elevated concentration of the T<sub>H</sub>1 cytokines IL-2 and IFN- $\gamma$  and decreased concentrations of the T<sub>H</sub>2-type cytokine IL-4, IL-10, have been reported in spontaneous abortion upon antigen and mitogeninduced activation of maternal peripheral blood mononuclear cells (PBMC) (40).

#### $T_{reg}$ cells in pregnancy

Maternal T<sub>reg</sub> cells are essential for the suppression of the immune aggression directed against the fetus (41)  $T_{reg}$  cells express CTLA-4, which is a negative regulator that has a structural homology to CD28 (5, 42). The coupling of CTLA-4 to regulatory APC (APC<sub>reg</sub>) with their accessory molecules CD80 (B7-1) / CD86 (B7-2), triggers the signal transducers and activators of transcription-1 (STAT1), / p38 mitogenactivated protein kinase (p38MAPK)/ nuclear factor  $\kappa$  B (NF $\kappa$ B) pathway, inducing the production of T<sub>H</sub>1 cytokines, mainly IFN- $\gamma$  (24, 42). (Table I). IFN-y favours the expression of IDO in its form as apoenzyme through the activation of Interferon Stimulatory Response Elements (ISRE) and y-Activating Sequences (GAS) (43). Once the addition of the haeme group is known as holenzyme (inactive form), secondary to post-translational modifications, it is converted into the active form of IDO, which allows the degradation of tryptophan to kynurenine in the syncytiotrophoblast. Fallarino et al. showed that kynurenine metabolite, 3hydroxyanthranilic and quinolinic acids, induce cycle arrest of autoreactive cells and selective apoptosis in murine thymocytes and T<sub>H</sub>1 cells (25, 26, 43-47) (Fig. 1).

#### uNK and HLA

The uNK cells represent 70 % of the CD45<sup>+</sup> leukocytes on the human decidua (10, 48) (Table I). This uNK population is present since the early to the late stages of pregnancy; however, the precise role of this uNK cells is unknown. Some theories related to its putative role are: 1) A possible control on the arterial growth; 2) Trophoblast control invasion through the interaction with non classical HLA I molecules (HLA-C, HLA-G and HLA-E) and their uNK cells receptors: KIR family, ILT group, CD94/NKG2 and NCRs (9, 11, 14, 48) (Fig. 1).

#### DCs in normal pregnancy

Decidual macrophages comprise 20 % of placental cells, whereas only a few of T cells are present and B cells are virtually absent (14). Both myeloid and lymphoid DCs express hCG receptors. The hCG up regulates the antigen-presenting activity of myeloid DCs cells culture stimulated with hCG by the expression of CD40 and CD86 that in consequence produce IFN- $\gamma$ , IL-12 and TNF- $\gamma$  in a dosed dependent manner (15).

Immature DCs can induce CTLA-4 expression and IL-10 - producing  $T_{reg}$  - like cells that inhibit the antigen-driven proliferation of  $T_{H}$ 1 through IDO production (15, 42). (Fig.1 and Table I).

#### Pregnancy and rheumatic diseases

## Hormonal status influence in rheumatic diseases

The estrogen administration in lupus murine models such as MRL *lpr* accelerates the renal damage (27). The 17- $\beta$ estradiol is able to increase B cell activation to produce high titers of anti-DNA double stranded and IL-10 in systemic lupus erythematosus (SLE) patients (27, 49). The lack of protection provided by estrogens to develop rheumatoid arthritis (RA) is lost in relation with the age of presentation of RA in adult women.

### **Rheumatic diseases and pregnancy** $T_H l/T_H 2$ balance

Studies dealing with cytokine expression during pregnancy and rheumatic diseases are scarce. Ostensen reported in 2005 the cytokine levels of pregnant patients with RA, juvenile idiopathic arthritis (JIA) and ankylosing spondylitis (AS) finding an increase on the plasma levels of the anti-inflammatory cytokines such as receptor antagonist IL-1 (IL-1Ra) and soluble TNF receptor (sTNFR) during the second and third trimesters of pregnancy not related to the underlying disease changes, and low or undetectable levels of IFN- $\gamma$ and IL-10 [50]. Previous studies including two from our group (32, 51), described elevated concentrations of IL-10 in RA and SLE pregnant patients in a longitudinal follow-up, evaluated by *in vitro* stimulation from PBMC with phytohaemmaglutinin showing depressed cell-mediated immunity (32, 52). Despite the depressed cell-mediated immunity, the PBMC could still increase their IL-10 secretion (32, 50). (Table I)

In addition to a general  $T_H^2$  response reflected by high IL-10 levels found in our first paper about RA and SLE patients during pregnancy (32), a significant change in IFN- $\gamma$  was observed in RA patients but only during the first trimester of pregnancy, compared with a major  $T_H^1$  response in healthy pregnant women (27).

In our second paper we analyzed the placental mRNA expression of  $T_H 1$  and  $T_H 2$  cytokines and the activity of the nuclear factor NFB with a non clear definition of  $T_H 1$  or  $T_H 2$  response in RA, SLE and healthy pregnant women (51).

### Effect of pregnancy in rheumatic diseases

The clinical outcome of autoimmune rheumatic diseases such as RA, SLE, antiphospholipid syndrome (APS), systemic sclerosis and spondyloarthropathies during pregnancy, has long been described (53, 54).

Since 1938, Phillip Hench described an intriguing improvement in pregnant women with inflammatory arthritis (55, 56). Recently, it was informed remission of disease activity in 86 % of pregnancies in RA and persistence of disease activity in 14 % (39). Ostensen *et al.* informed that patients suffering from RA during pregnancy improved the RA clinical activity since the first trimester up to the mid pregnancy in around 75 % of the cases, however RA flares occurred in 77 - 90 % around 6 months postpartum (57, 58).

In SLE, the course of pregnancy has a different outcome based on the clinical activity registered at the moment of conception (59, 60). Clowse *et al.* 

#### Molecular mechanisms in normal pregnancy and RA / M. Vazquez-Del Mercado et

reported recently the data obtained from the Hopkins Lupus Cohort between 1987 to 2002, where 21% of SLE pregnant patients experienced a moderate to severe flare (61). Fetal loss in this cohort was reported of 42 % in active SLE whereas 11 % in SLE with low activity (61). In pregnant patients with RA, premature birth or intrauterine growth retardation do not seem to be increase versus normal population (39).

In the group of spondyloarthopathies, the peripheral arthritis and uveitis usually improve, but the spinal disease may worsen in around 25 % of patients during pregnancy (54).

About APS, pre-eclampsia and eclampsia associated to APS contribute to increase the risk of premature (less than 34 weeks) delivery. The risk of arterial and venous thrombosis in pregnant patients with APS, is considerable whether anti-phospholipid antibodies or circulating anticoagulant have been deleted (54).

The little knowledge around molecular mechanisms involved in pregnancy and the need to clarify the relationship between clinical outcome of autoimmune rheumatic diseases and pregnancy evoked us to make this review.

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#### REVIEW

#### Molecular mechanisms in normal pregnancy and RA / M. Vazquez-Del Mercado et

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